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RCE
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PARKHURST & WENDEL, L.L.P.
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Attorney Docket No.: YMTA:002A
Date: September 29, 2004

Confirmation No.: 8853

Examiner: John McPherson
Art Unit: 1756

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This is a

☒ Request for Continued Examination (RCE) under 37 CFR §1.114
(prosecution of the application is closed in the sense of 37 CFR §1.114(b));
☐ Divisional Continuation Application (parent not abandoned) under 37
CFR §1.53(b);

of pending prior application Serial No. 09/882,409, filed June 15, 2001

for [Title as filed]: STRUCTURE FOR PATTERN FORMATION, METHOD FOR PATTERN
FORMATION, AND APPLICATION THEREOF

[Amended Title]: same

by [Inventors]: Hironori KOBAYASHI, Manabu YAMAMOTO, Daigo AOKI, Hironori
KAMIYAMA, Shinichi HIKOSAKA, and Mitsuhiro KASHIWABARA

1. ☐ A copy of the prior application is attached. This copy comprises
a true copy of the prior application as filed (specification,
claims, drawings, declaration). No amendments referred to in the
declaration (if any) filed to complete the prior application
introduced new matter therein.
2. ☐ Abandon said prior application as of the filing date accorded this
application.

09/30/2004 CCHAU1 00000025 09882409

01 FC:1801	770.00 OP
02 FC:1202	1998.00 OP
03 FC:1201	4902.00 OP

3. [X] The filing fee is calculated below:

CLAIMS REMAINING IN AN APPLICATION AFTER ENTRY
OF ANY PRELIMINARY AMENDMENT OR AMENDMENT
UNDER 37 CFR §1.116 NOTED BELOW

Basic Fee
\$ 770.00

Total claims	131 - 20 = 111	x \$18.00 (* 9.00) =	1,998.00
Independent claims	60 - 3 = 57	x \$86.00 (*43.00) =	<u>4,902.00</u>
Total Filing Fee.....		=	\$7,670.00

4. [X] A check in the amount of \$7,670.00 is enclosed (Ck# 18037).
THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY OTHER FEES
WHICH MAY BE REQUIRED OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT
NO. 160331.

5. [] Cancel in this application claims of the prior application before
calculating the filing fee. At least one independent claim is
retained for filing purposes.

6. [] Amend the specification by inserting before the first line the
sentence:
--This is a [] Continuation [] Division of application Serial No.

7. [] Please enter in the present application the Amendment Under 37 CFR
§1.116 with any attachments filed on in this application which was
not entered therein.

8. [X] Priority of foreign application(s) No. 214845/1997 filed August 8,
1997; 300295/1997 filed October 31, 1997; 313041/1997 filed
November 14, 1997; 100369/1998 filed March 27, 1998; 85955/1998
filed March 31, 1998; 86293/1998 filed March 31, 1998; 165392/1998
filed June 12, 1998; 167316/1998 filed June 15, 1998; and
183370/1998 filed June 15, 1998 in Japan is claimed under 35 U.S.C.
§119.

[X] The certified copies were filed in PCT/JP98/03547.

[] A certified copy of the above corresponding foreign application is
filed herewith.

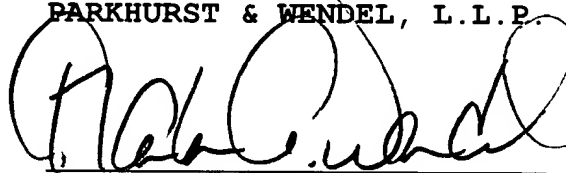
9. [X] The prior application is assigned of record to DAI NIPPON PRINTING CO., LTD. Recorded at Reel 010009, Frame 0715.
10. [X] The power of attorney in the prior application is to Roger W. Parkhurst, Registration No. 25,177 and Charles A. Wendel, Registration No. 24,453:
- [X] a. The power appears in the original papers in the prior application.
- [] b. Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- [X] c. Address all future communications to

PARKHURST & WENDEL, L.L.P.
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Telephone: (703) 739-0220.

11. [X] An Application Data Sheet is enclosed.
12. [X] An Amendment is enclosed herewith.
13. [] An Information Disclosure Statement is enclosed.
14. [X] This application is not entitled to Small Entity Status.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Charles A. Wendel
Registration No. 24,453

(rev. 02/04)

PLEASE ACCEPT THIS AS
AUTHORIZATION TO DEBIT
OR CREDIT FEES TO
DEP. ACCT. 16-0331
PARKHURST & WENDEL



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 09/882,409

Confirmation No.: 8853

In re Application of

Group Art Unit: 1756

Hironori KOBAYASHI et al.

Examiner: John A. McPherson

Filed: June 15, 2001

For: STRUCTURE FOR PATTERN FORMATION, METHOD FOR PATTERN
FORMATION, AND APPLICATION THEREOF

AMENDMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Prior to continued examination of the application, please
undertake the following changes: